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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY</b> <b>PATENT APPLICATION</b> <b>TRANSMITTAL</b> <small>(Only) for new nonprovisional applications under 37 CFR 1.53(b)</small>	Attorney Docket No.	X-10242A
	First Named Inventor or Application Identifier	
	Ronald Norbert Hermeling, et al.	
Express Mail Label No.		EL018701437US

<b>Application Elements</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Arlington, VA 22202-0327
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total 38] Pages (preferred arrangement set forth below)	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure	a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies
3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets]	<b>ACCOMPANYING APPLICATION PARTS</b>
4. <input type="checkbox"/> Oath or Declaration [Total Pages]	8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]	10. <input type="checkbox"/> English Translation Document (if applicable)
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	12. <input checked="" type="checkbox"/> Preliminary Amendment
	13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/209,799

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label	(Insert Customer No. Or Attach bar code label here)	or	Correspondence address below
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Eli Lilly and Company

ADDRESS

Lilly Corporate Center  
 Patent Division DC: 1104

CITY	Indianapolis	STATE	Indiana	ZIP CODE	46285
COUNTRY	U.S.A.	TELEPHONE	317-276-0280	FAX	317-276-3861

**SUBMITTED BY**

Typed or Printed Name	Mark J. Stewart	Complete (if applicable)	
Signature	<i>Mark J. Stewart</i>	Reg. Number	43,936
		Date	Nov. 29, 2001

"Express Mail" mailing label number EL018701437US

Date of Deposit NOV 30 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Arlington, VA 22202-0327

*Olga M. Franz*  
 Printed Name

*Olga M. Franz*  
 Signature

10/30/01  
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## FEE TRANSMITTAL

Note: Effective November 10, 1997.

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740.00

METHOD OF PAYMENT (check one)

Complete if Known

Application Number  
Filing Date  
First Named Inventor Ronald Norbert Hermeling  
Group Art Unit  
Examiner Name  
Attorney Docket Number X-10242A

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account Number 05-0840  
Deposit Account Name Eli Lilly and Company  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance  
2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

1. FILING FEE  
Large Fee Code 101 Entity Fee (\$) 740 Small Fee Code 201 Entity Fee (\$) 370 Description Utility filing fee Fee Paid 740  
106 330 206 165 Design filing fee  
107 510 207 255 Plant filing fee  
108 740 208 370 Reissue filing fee  
114 160 214 80 Provisional filing fee  
SUBTOTAL (1) (\$ 740.00

2. CLAIMS  
Total Claims 6 -20\*\* = 0 X 18 = 0  
Independent Claims 1 -3\*\* = 0 X 84 = 0  
Multiple Dependent Claims (first time) X 280 =  
Large Fee Code 103 Entity Fee (\$) 18 Small Fee Code 203 Entity Fee (\$) 9 Description Claims in excess of 20  
102 84 202 42 Independent claims in excess of 3  
104 280 204 140 Multiple dependent claim  
109 84 209 42 Reissue independent claims over original patent  
110 18 210 9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Typed or Printed Name Mark J. Stewart

Signature

"Express Mail" mailing label number

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Date of Deposit

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Olga M Franz  
Printed Name

Olga M Franz  
Signature

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Ronald Norbert Hermeling, et al.

For : GLUCAGON-LIKE PEPTIDE-1 CRYSTALS

Docket No. : X-10242A

**STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE**

**WITH 37 C.F.R. 1.821(f) (SEQUENCE LISTING)**

Assistant Commissioner for Patents

Washington, D. C. 20231

Sir:

I hereby affirm that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

M. J. Stewart

Mark J. Stewart  
Attorney for Applicants  
Registration No. 43,936  
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Eli Lilly and Company  
Patent Division/MJS  
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Nov. 29, 2001